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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,599	04/05/2001	Frances H. Arnold	9373/1H222US1

07278
DARBY & DARBY
805 THIRD AVENUE, 27TH FLR.
NEW YORK, NY 10022

CONFIRMATION NO. 5688

FORMALITIES LETTER



OC000000006173843

Date Mailed: 06/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

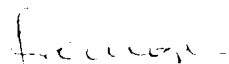
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

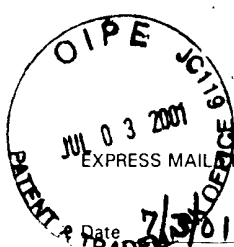
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$916.
 - \$756 for 42 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1756.**

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



07-05-01

Sector #

EXPRESS MAIL CERTIFICATE

Date 7/3/01 Label No. 903060127-45
I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

B.W. LEE

Name (Print)

B.W. Lee

Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 9373/1H222US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Frances H. ARNOLD et al.

Serial No.: 09/828,599

Art Unit: TBA

Confirmation No.: 5688

Filed: April 5, 2001

Examiner: TBA

For: **SCREENING METHOD FOR THE DISCOVERY AND DIRECTED
EVOLUTION OF OXYGENASE ENZYMES**

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney

2. Payment in the amount of \$ 1796, (\$ 1626 filing; \$ 40 recording; \$130 late)
in the form of:
[X] check
[] deposit account no. 04-0100
[] credit card (see attached form)
(See attached **Fee Computation Sheet**)
3. [] Drawings, sheets (Figs.)
4. [X] Assignment for recording to: CALIFORNIA INSTITUTE OF TECHNOLOGY
5. [] Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

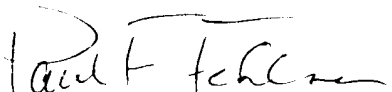
Country:	U.S.A.
Number:	60/194,992
Date:	04/05/00

The priority documents	[] are enclosed
	[] will follow.
	[X] were filed in a previous application.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: July 2, 2001


Paul F. Fehlner, Ph.D.
Reg. No. 35,135
Attorney for Applicant(s)

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212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	62 - 20	- 0 = 42	x \$18.00	\$756.00
Independent Claims	5 - 3	- 0 = 2	x \$80.00	\$160.00
Multiple Dependent Claims		- if so, add	\$270.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$130.00
SUBTOTAL				\$1756.00
<input type="checkbox"/> Small Entity REDUCTION (Half of Subtotal)				\$0.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$1796.00